

# Actuator 3 – Piezoelectric and shape memory alloy technology

ME490A

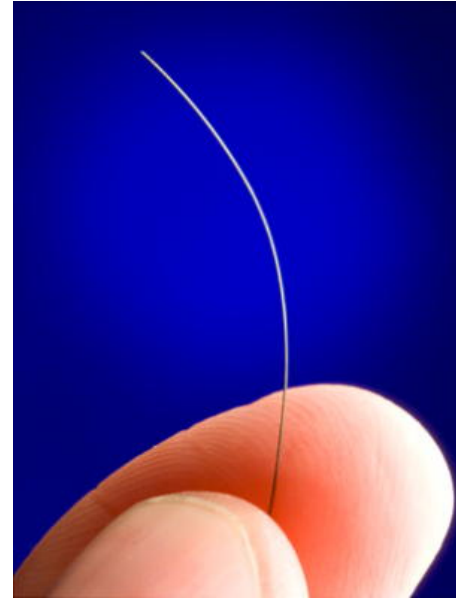
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SDSU

Dept. of Mechanical Engineering

Adapted from a presentation by Dr. Kee  
Moon

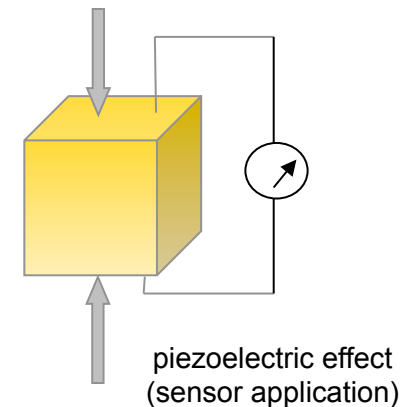




# Piezoelectric property

Direct piezoelectric effect: Certain materials produce electric charges on their surfaces as a consequence of applying mechanical stress. The induced charges are proportional to the mechanical stress.

converse piezoelectric effect: Materials showing this phenomenon also conversely have a geometric strain proportional to an applied electric field.



Piezoelectricity is extensively utilized in the fabrication of various devices such as transducers and actuators.

# Piezoelectric property

## Piezoelectric Strain Constant $d$

The magnitude of the induced strain  $x$  by an external electric field  $E$  is represented by this figure of merit (an important figure of merit for actuator applications):

$$x = d E .$$

## Piezoelectric Voltage Constant $g$

The induced electric field  $E$  is related to an external stress  $X$  through the piezoelectric voltage constant  $g$  (an important figure of merit for sensor applications):

$$E = g X.$$

## Electromechanical Coupling Factor $k$

$$k^2 = (\text{Stored mechanical energy} / \text{Input electrical energy})$$

or

$$k^2 = (\text{Stored electrical energy} / \text{Input mechanical energy})$$

# Single Crystals vs Polycrystalline Materials

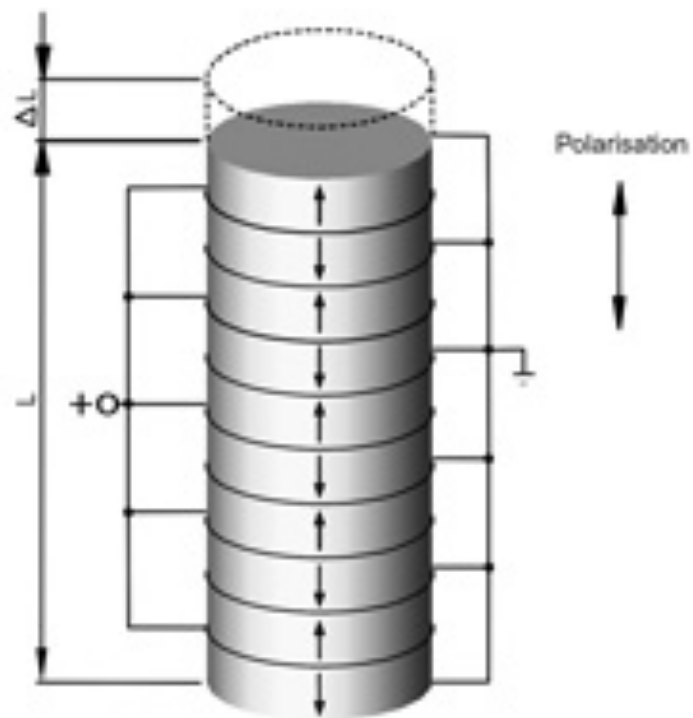
Polycrystalline Materials: Piezoelectric  $\text{Pb}(\text{Ti},\text{Zr})\text{O}_3$  solid solutions [PZT] ceramics have been widely used because of their superior piezoelectric properties.

Though, the PZT films may bring dielectric constant up to around 600 by using PZT powder, it also raises its acoustic impedance; Meanwhile, due to the porosity of the PZT materials, the electromechanical coupling coefficient is  $kt \sim 0.3$ , which is not high enough to provide a high acoustic performance.

### Mechanical Quality Factor QM

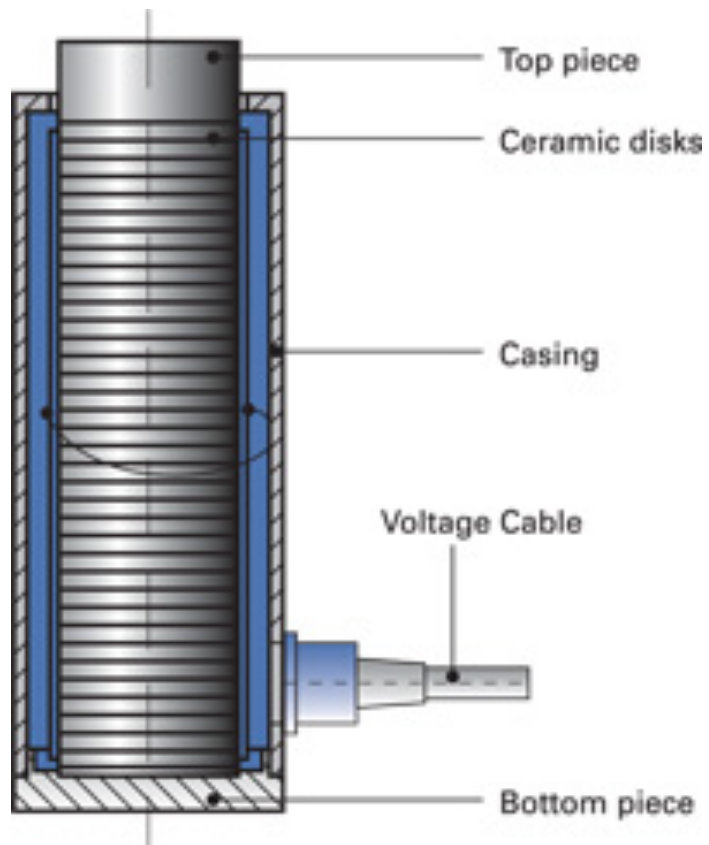
The mechanical quality factor, QM, is a parameter that characterizes the sharpness of the electromechanical resonance spectrum.

# PIEZO ACTUATORS



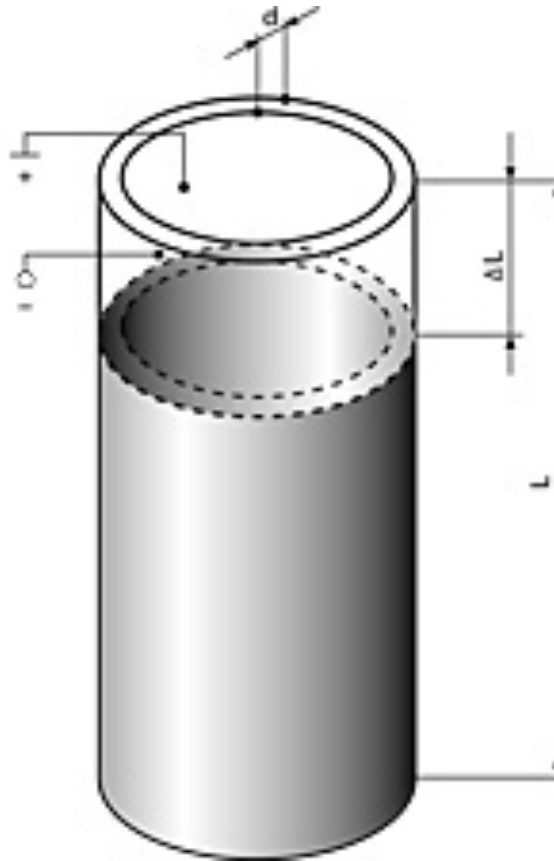
**Electrical design of a stack translator.**

# PIEZO ACTUATORS



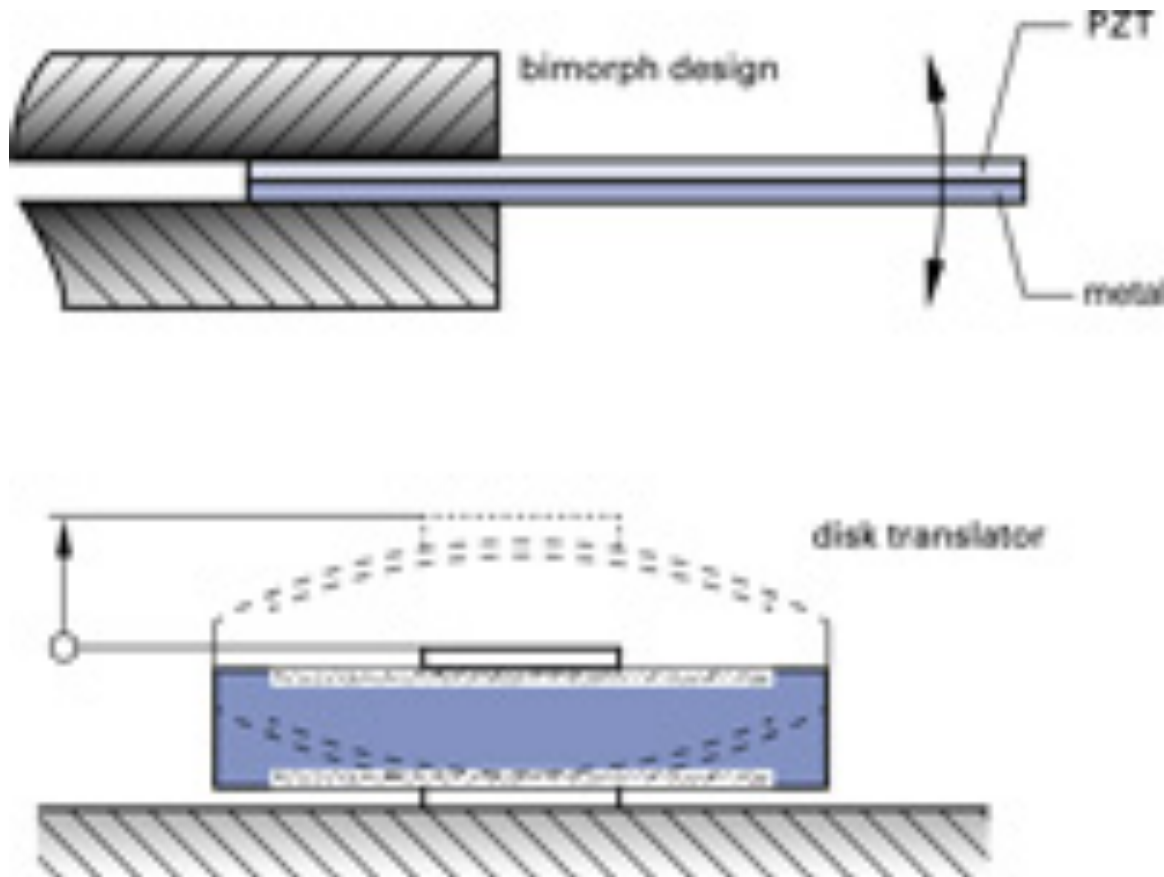
Mechanical design of a stack translator.

# PIEZO ACTUATORS



Tube actuator design.

# PIEZO ACTUATORS

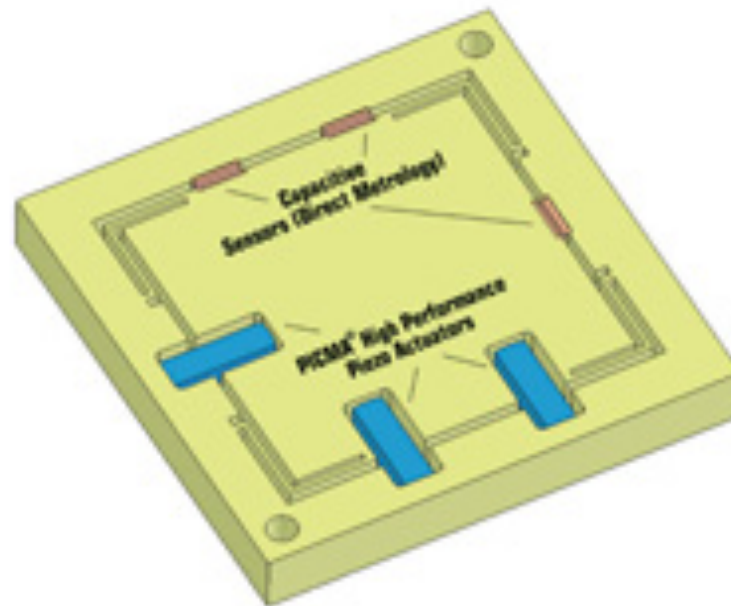


Bimorph design (strip and disk translator).

# PIEZO ACTUATORS

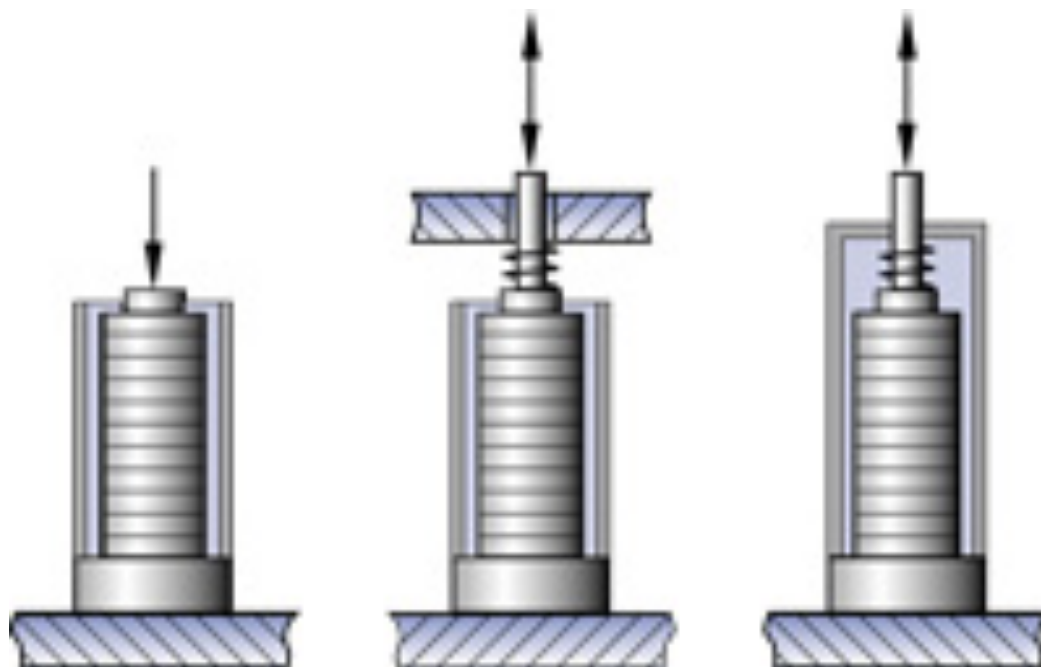
## Parallel and Serial Kinematics / Metrology

- Direct and Indirect Metrology
- Parallel and Serial Kinematics



# PIEZO ACTUATORS

## Mounting Guidelines for Piezo Translators



No pulling force without preload.

# PMN-PT

Commercial  
PMN-PT bulk

PMN-PT provides a means of advancing the performance of high frequency transducers far beyond the capability of conventional ceramic devices

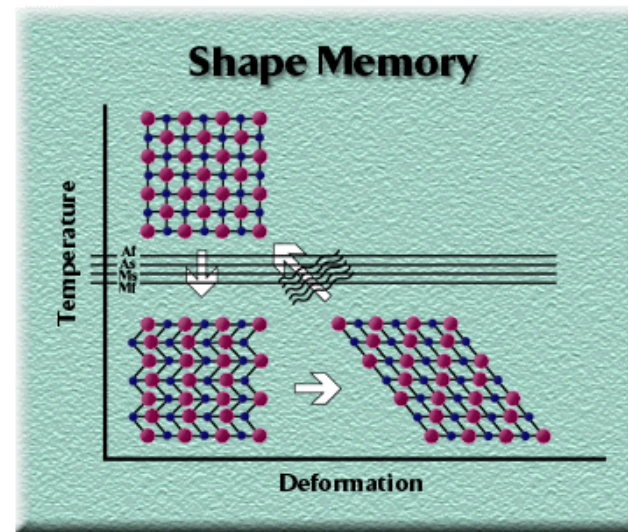
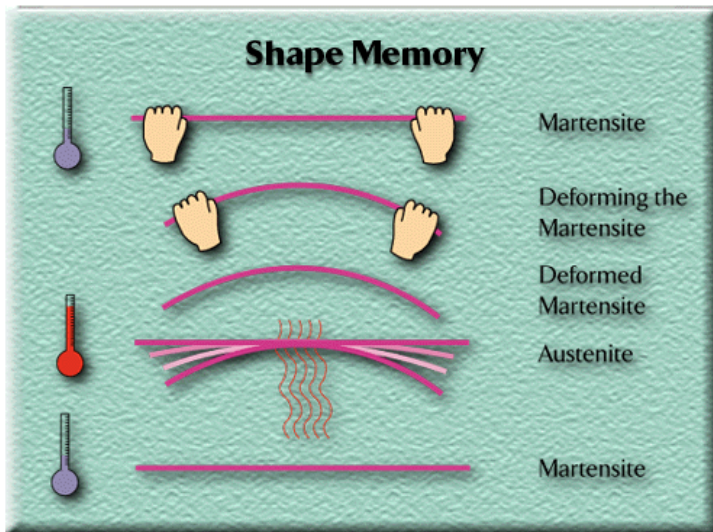


# SMA wire “Muscle Wire” actuation

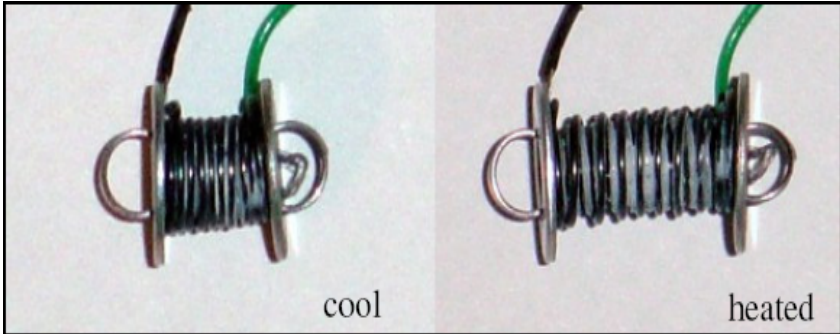
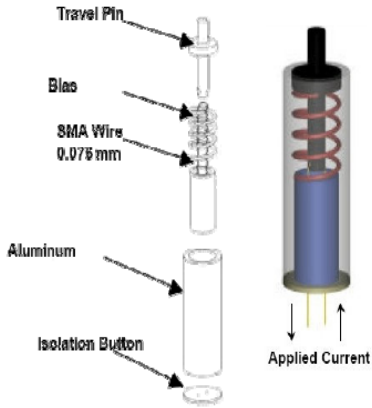
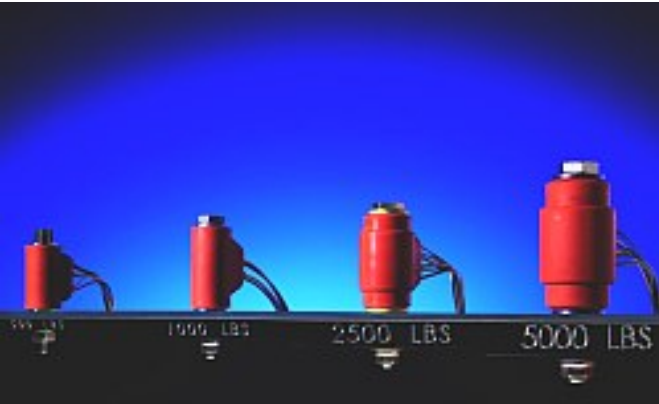
- SMA wire (Shape Memory Alloy Wire) or “Muscle wire” is an alloy made in a wire form using approximately 50% titanium and 50% nickel.
- This alloy is drawn down to small wire diameter sizes to be used for actuation designs.

# Thermal shape memory actuation

- SMA (Shape Memory Alloy Wire) is an alloy that "remembers" its shape
- The transformation from austenite to martensite (cooling) and the reverse cycle from martensite to austenite (heating) does not occur at the same temperature.

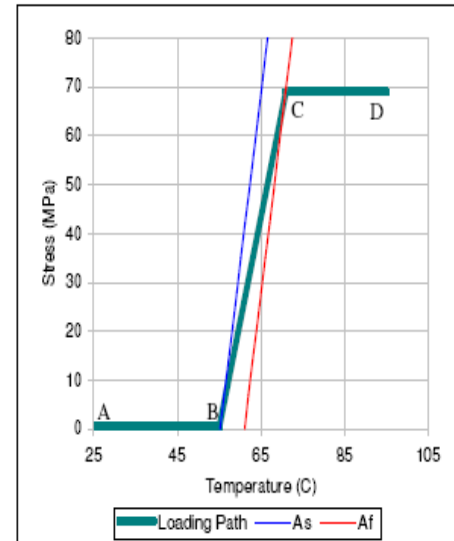


# Thermal shape memory actuation examples



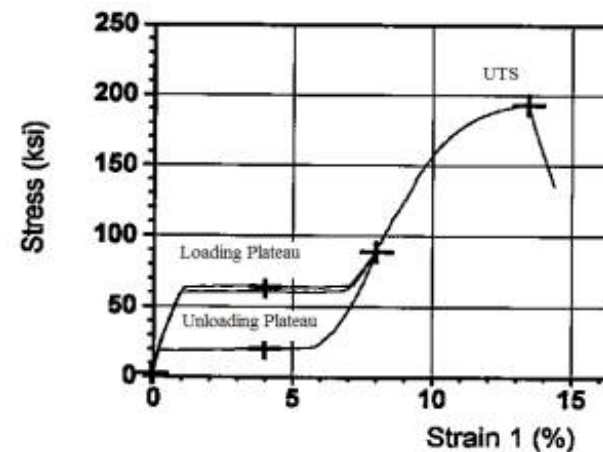
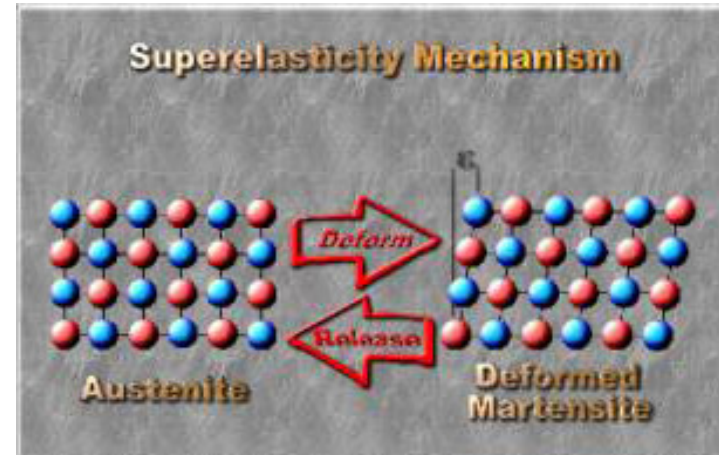
# Nitinol “Muscle Wire”

- Nitinol is a generic trade name for NiTi alloys, which stands for Nickel (Ni), Titanium (Ti) and discovered in the early 1960s.
- NiTi alloys after an apparent deformation in the martensitic phase have the ability to recover their original shape upon heating through the phase transformation temperature range above the Austenite finish (Af) temperature. (~60 degree C)
- <http://www.nitinol.info/pages/technology.html>

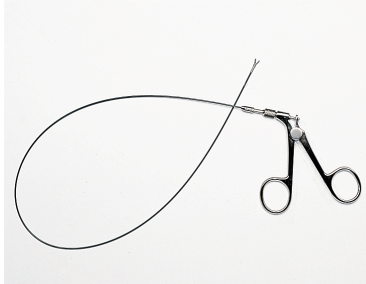


# What is superelasticity?

- Also termed “pseudoelasticity”, superelasticity describes a nonlinear recoverable deformation behavior of NiTi alloys at temperatures above the  $A_f$  temperature
- Arises from the stress-induced martensitic transformation on loading and the spontaneous reversion of the transformation upon unloading.
- A transformation-induced strain up to 6% is recoverable.
- Cold worked NiTi alloys exhibit extended linear elasticity where a strain as high as 3.5% is recoverable with minimal plastic deformation.



# Superelasticity = Flexibility



Grasper with flexible  
Nitinol tube

Nitinol eye  
glass frames



# Nitinol wires

## **Round wire**

*OD:* 0.001" to 0.250"

*Condition:* Straight or As drawn

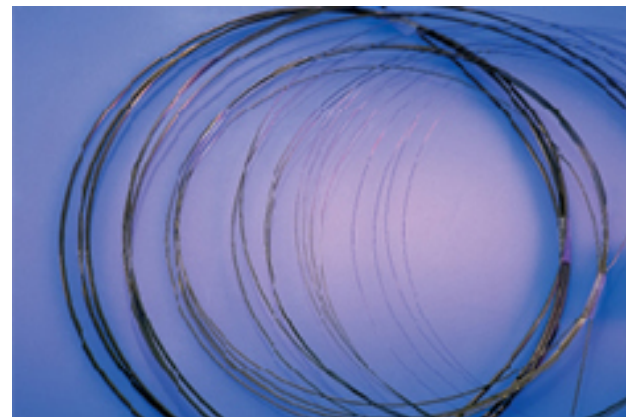
*Surface options:* Bright polished, Black oxide, Etched, Sand blasted, Ground

## **Rectangular wire**

*Thickness:* 0.004" to 0.080"

*Condition:* Straight or As drawn

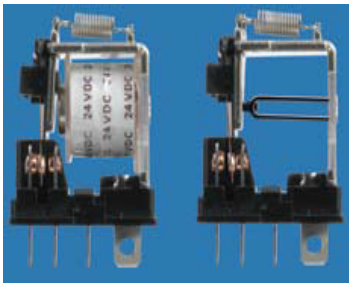
*Surface options:* Bright polished, Black oxide, Etched



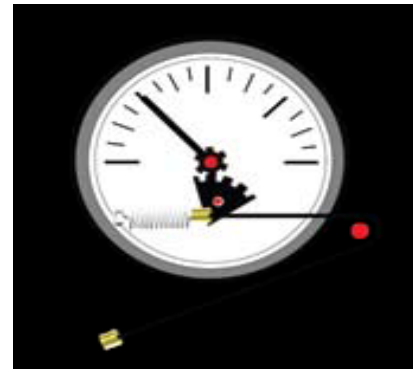
# Nitinol applications

PROPERTY	CONSUMER APPLICATIONS	MEDICAL APPLICATIONS
<i>Thermal Shape Memory</i>	Actuators	Heart Valve Tools
<i>Flexibility &amp; Kink Resistance</i>	Fishing Lures Eyeglass Frames Cell Phone Antennas	Guidewires Endodontic Files MIS Instruments
<i>Elastic Springback</i>	Cell Phone Antennas	<i>Homer Mammalok®</i> Needles
<i>Constancy of Stress</i>	Brassiere Wire Eyeglass Frames	<i>Archwire</i> Stents MIS Instruments
<i>Elastic Deployment</i>		Septal Defect Device <i>Hingeless Instruments</i> Baskets
<i>Thermal Deployment</i>		Stents Simon Blood Filter®
<i>Biomechanical Compatibility</i>		Bone Anchors Staples, Spacers
<i>Dynamic Interference (Stress Hysteresis)</i>		Stents Filters
<i>Biocompatibility</i>		Implants
<i>MRI Compatibility</i>		Open MRI Instruments Needles

# Device examples

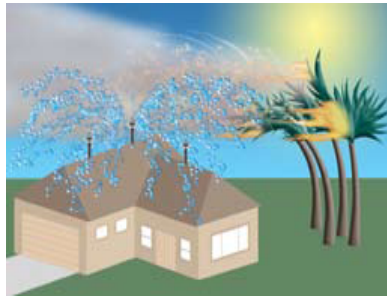


Muscle Wire replaces wound coils in relays & solenoids.



Wire when heated moves arrow with gear. Linear motion is translated to rotary motion.

# Device examples (continued)



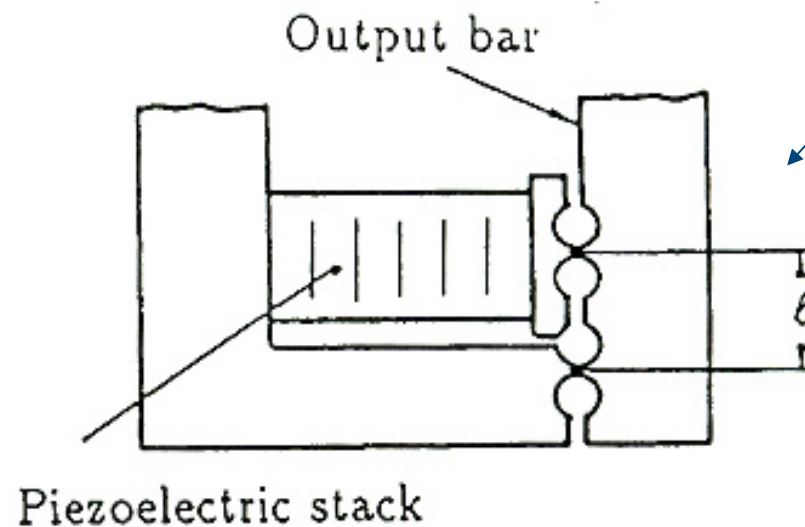
Heated air from fire activates water valve which sets off sprinkler system that waters the roof.



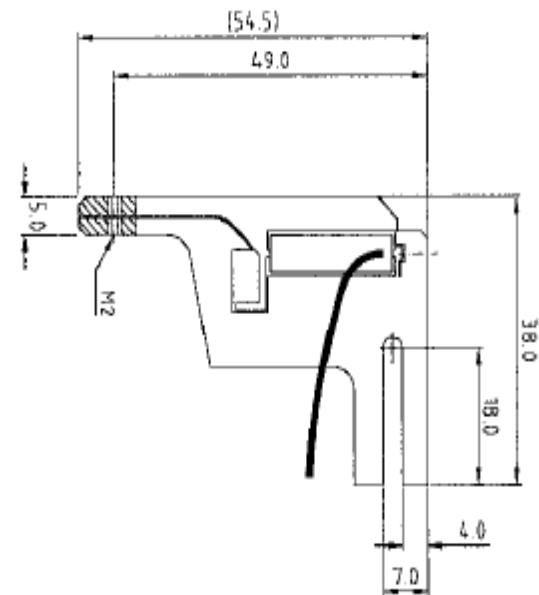
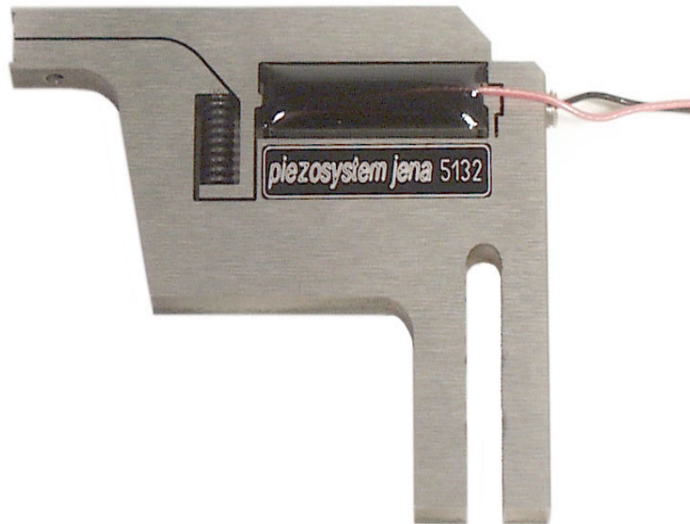
Engine air louver system opens & closes  
louvers open when heat from the car engine activates the muscle wire.

# Magnifying Mechanism for Piezos

- Need accurate magnification
- However, space restriction, l
  - Usually amplification from flexure pivoted mechanical lever
  - The magnification was limited by the size of the piezoelectric actuator

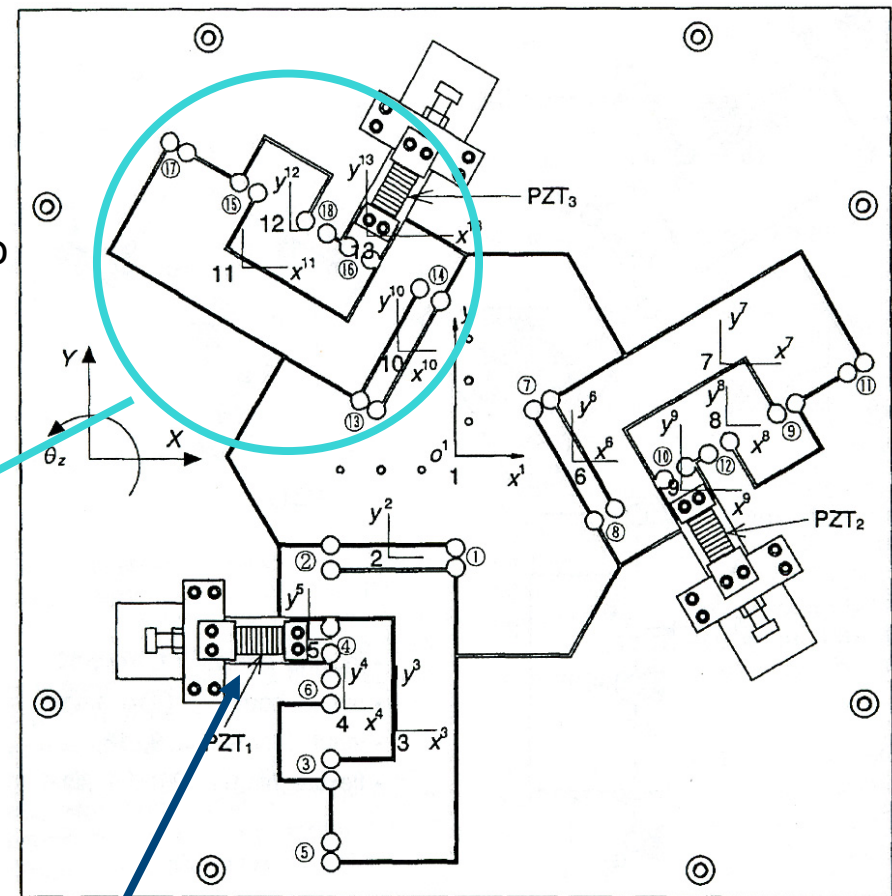
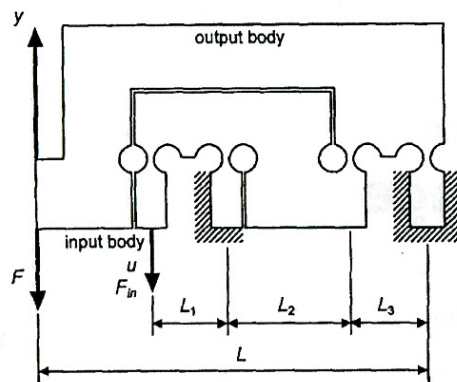


# Other examples: a compact piezoelectric gripper (tweezers)



# Flexure Hinge for XYθ Wafer Stage

- Components
  - 3 piezoelectric actuators
  - 1 monolithic mechanism
    - 3 double compound mechanical lever hinges to amplify motion
    - Symmetric structure for temperature tolerance

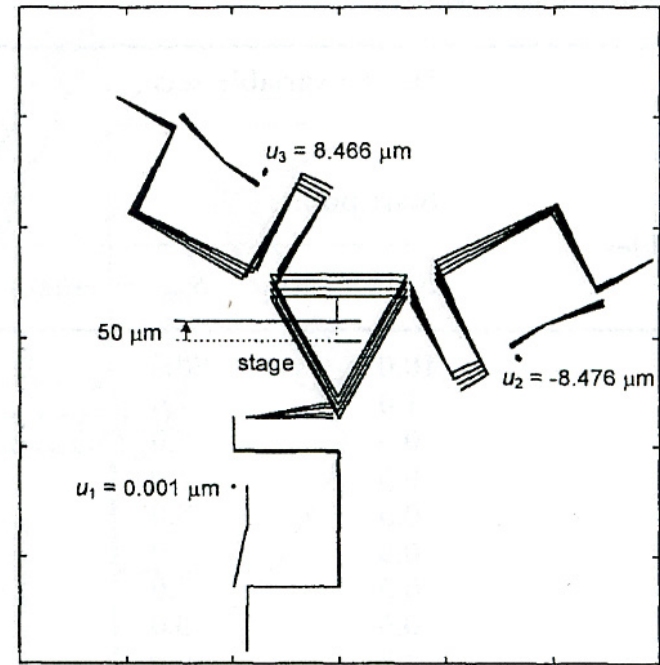
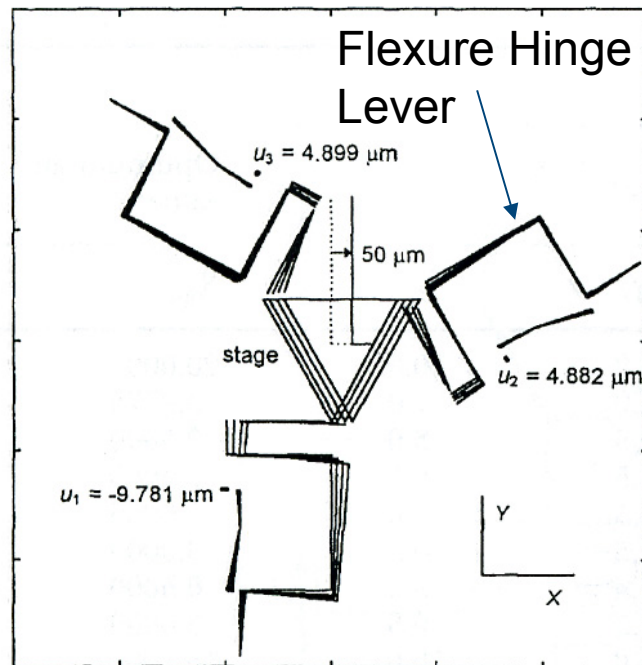


Piezoelectric Actuator

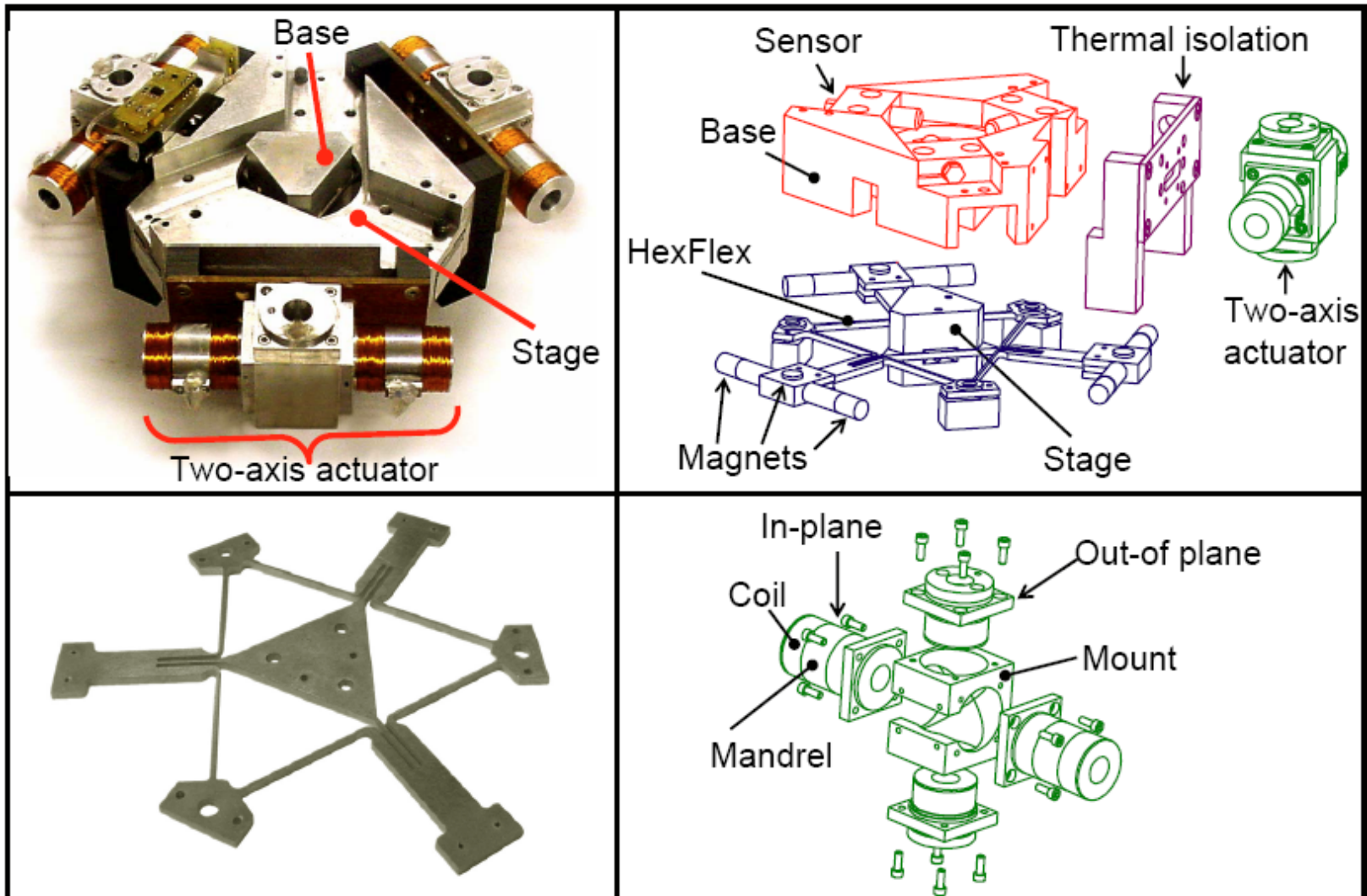
Displacements are usually less than 10μm

# Flexure Hinge for XYθ Wafer Stage

- Fine positioning
  - Parallel linkage was originally used
    - Problem: The yaw motion had a limit of 36 arcsec
  - With the proposed Flexure Hinge for XYθ Wafer Stage
    - Yaw motion of 322.8 arcsec



# Application: HexFlex Nanomanipulator



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